



1/30/04, mas^c

2811

Attorney Docket No.: 56243 (46547)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Yoshihiro Okada, et al.

EXAMINER: Tran, Thien F.

U.S.S.N.: 09/939,479

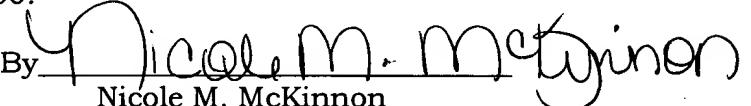
GROUP: 2811

FILED: August 24, 2001

FOR: ACTIVE MATRIX SUBSTRATE, METHOD OF MAKING THE SUBSTRATE,
AND DISPLAY DEVICE

CERTIFICATE OF EXPRESS MAILING (Label No.: EV 342619742 US)

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By 
Nicole M. McKinnon

Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yoshihiro OKADA, et al.

Docket No.

56243 (46547)

Serial No.

09/939,479

Filing Date

August 24, 2001

Examiner

Tran, Thien F.

Group Art Unit

2811

Invention:

ACTIVE MATRIX SUBSTRATE, METHOD OF MAKING THE SUBSTRATE, AND DISPLAY DEVICE

JAN 28 2004

PATENT & TRADEMARK OFFICE

I, [REDACTED] certify that the following correspondence:

Supplemental Information Disclosure Statement (along with related documents)

(Identify type of correspondence)

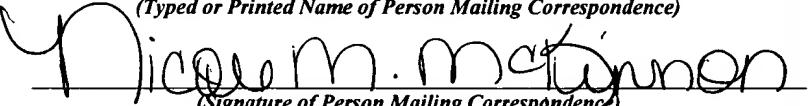
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January 28, 2004

(Date)

Nicole M. McKinnon

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EV 342619742 US

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Inventor(s): Yoshihiro Okada, et al.
Attorney Docket No.: 56243 (46547)
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II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. _____ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. _____, filed on _____. The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. _____ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. X A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:

An English Abstract is enclosed for the following Japanese Patent (JP 02-245738 dated October 1, 1990)

- c. X The following additional information is provided for the Examiner's consideration:

Copy of Korean Patent (2000-0021351 dated April 25, 2000);
Copy of Korean Office Action issued on November 1, 2003;
Copy of partial English translation of Korean Office Action;

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. _____ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1). No fee or certification is required.

Inventor(s): Yoshihiro Okada, et al.
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Filed: August 24, 2001
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- b. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required.
- c. Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).
or
b. See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office

Inventor(s): Yoshihiro Okada, et al.
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in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

— Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: January 28, 2004
Customer No. 21874
431743

By: J. M. Konieczny
J. Mark Konieczny
(Reg. No. 47,715)
Attorney for Applicants
EDWARDS & ANGELL, LLP
P. O. Box 55874
Boston, MA 02205
Tel: (617) 517-5535
Fax: (617) 439-4170



FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		DOCKET NO: 56243 (46547)	SERIAL NO.: 09/939,479
		APPLICANT(S): Yoshihiro Okada, et al.	
		FILING DATE: August 24, 2001	GROUP NO.: 2811

UNITED STATES PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	BA	02-245738	10/01/98	JAPAN	G02F	1/136	ABSTRACT
	BB	2000-0021351	04/25/00	KOREAN	G02F	1/136	NO

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	CA	Copy of Korean Office Action dated November 1, 2003
	CB	Partial English translation of Korean Office Action dated November 1, 2003
EXAMINER:		DATE:

431777